

# PHD CAPITAL PVT. LTD.

SEBI Registration Number - INH000016126 Sadpur - Maslandapur Habra -1, North 24 Parganas, Basirhat Road Maslandapur, West Bengal -743289 CIN No.- U67190WB2020PTC237908

## Segregation of Departments and Declaration on Conflict of Interest

At PHD Capital Private Limited, we prioritize transparency, compliance, and client trust in all our operations. To adhere to the guidelines set forth by the Securities and Exchange Board of India (SEBI) and other regulatory bodies and eliminate potential conflicts of interest, we have implemented a clear segregation of our departments and their respective financial operations. Below, we outline our departmental structure, functions, and associated bank accounts for complete transparency.

#### **Our Segregated Departments**

### 1. Educational and Mentorship Programs

**Function:** This department focuses on financial literacy and mentorship through structured courses and programs. We aim to empower individuals with the knowledge to make informed financial decisions.

In-Charge: Pradip Halder, DIN: 08786591

**Bank Account Details:** All fees for educational and mentorship services are collected exclusively through the following account:

Bank Name: HDFC Bank Account Name: PHD Capital Private Limited Account Number: xxxxxxx4520 IFSC Code: HDFC0003511

### 1. Mutual Fund and Portfolio Management Services (PMS) Distribution

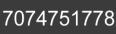
**Function:** As AMFI-registered Mutual Fund Distributors and APMI-registered PMS Distributors, we facilitate the distribution of mutual funds and PMS products.

In-Charge: Sabarika Debroy, DIN: 08786592

**Bank Account Details:** All distribution-related commissions received are managed through the following account:

Bank Name: Bandhan Bank Account Name: PHD Capital Private Limited Account Number: xxxxxxx4707 IFSC Code: BDBL0001649









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## 1. Research and Analysis

**Function:** As a SEBI-registered research analyst, we provide our clients with research and analysis. This ensures unbiased, value-driven insights without financial conflict.

In-Charge: Pritam Sardar, DIN: 10464248

**Bank Account Details:** All research-related subscriptions received are managed through the following account:

Bank Name: Bank of India Account Name: PHD Capital Private Limited Account Number: xxxxxxxxx0242 IFSC Code: BKID0004143

**Note:** PHD Capital Private Limited is currently not providing any paid research service. It is providing research services free for all clients. This means that each and every client receiving any service from us will get research service for free.

#### **Conflict of Interest Mitigation**

**Strict Financial Separation:** Each department operates independently with designated bank accounts to ensure financial transparency and compliance with SEBI regulations. This separation prevents the misuse of funds and potential conflicts of interest between our services.

**Independent Operations:** Our Research department operates without monetary transactions to maintain objectivity and eliminate biases in our recommendations and analysis.

**Regulatory Adherence:** As a SEBI-registered Research Analyst, AMFI-registered Mutual Fund Distributor, and APMI-registered PMS Distributor, we strictly follow the prescribed guidelines to uphold ethical practices and safeguard client interests.

**Transparency in Client Interaction:** All financial dealings and departmental activities are disclosed to clients to maintain trust and accountability. Our clients can rest assured that their investments, fees, and transactions are handled with the highest level of integrity.

#### Contact Us

For any queries or clarifications regarding our operations, please reach out to us at:

Email: support@phdcapital.in Phone: +91-7074751778







